



11—16 AUGUST 2019

—
INTERNATIONAL CONVENTION CENTRE
SYDNEY

GAMING REGULATION

MEETING THE EDUCATIONAL NEEDS
OF THE MODERN, BEST PRACTICE
GAMING REGULATOR

A FIVE DAY EDUCATIONAL PROGRAM

—
FOR CASINO & GAMING REGULATORS
DELIVERED BY THE UNIVERSITY OF NEVADA, LAS
VEGAS (UNLV) INTERNATIONAL CENTER FOR GAMING
REGULATION, LIQUOR & GAMING NSW AND SUBJECT
MATTER EXPERTS





GAMING REGULATION

PROGRAM INCLUDES

- Lectures and workshops from expert faculty
- All course materials, including pre-reading
- Certificate of completion from the University of Nevada Las Vegas (UNLV)
- Welcome networking event and final dinner
- Morning tea, lunch and afternoon tea 12-16 August
- Accommodation available at additional expense

EDUCATIONAL FORMAT

The program will begin on the evening of Sunday 11 August with a welcome networking event and an opportunity to meet your fellow gaming regulators from Asia-Pacific and beyond. The educational program will begin on Monday 12 August at the International Convention Centre (ICC), Sydney, and run to close of business on Friday 16 August. A final dinner will be held for all participants on the evening of Thursday 15 August.

The program will be delivered by expert faculty of the International Center for Gaming Regulation, University of Nevada, Las Vegas, and subject matter experts. The program will include lectures, case studies, group projects and an offsite session at a casino. Pre-course reading materials will be provided.

PARTICIPATION

Registration for Gaming Regulation 2019 will open soon.

In the meantime if you would like to express your interest in the program, or have any questions, please email nathan.bennett@industry.nsw.gov.au

‘Building regulatory capability and deep sector knowledge underpins effective risk-based industry supervision, and ensures regulatory resources are applied where the risks and public interest are greatest, while minimising undue regulatory burden.

Join us at our Gaming Regulation 2019 program and contribute to enhancing regulatory policy and best practice and advancing secure, vibrant, innovative, safe and responsible industry.’



Paul Newson
Deputy Secretary
Liquor, Gaming & Racing

PROGRAM SCHEDULE

SUNDAY 11 AUGUST

1830 **Registration & Welcome Networking Event (off site tbc)**

MONDAY 12 AUGUST

0830 **Introductions and Welcome**

Instructors from the International Center for Gaming Regulation at UNLV and Regulatory Leadership from New South Wales to discuss the mission and goal of the program

0900 **Why & How to Regulate / Gambling Policy**

Theories and methods of regulation across the globe
Facilitated discussion of assignments

1030 BREAK (catered)

1045 **Current Issues in Regulation**

Overview of the integrated resorts model and current challenges in gambling regulation

1115 **Group Discussion: Risk-based vs Rules-based Regulation**

Discuss benefits and obstacles with risk-based versus rules-based approach to gaming regulation

1200 LUNCH (catered)

1300 **Group Project: Creating a Gaming Regulatory System**

Separate into groups to discuss important issues affecting various stakeholders and present to a "town hall" meeting about regulatory impacts
Discussion of unique issues to gaming regulation

1430 BREAK (catered)

1445 **Licensing for Gambling Activities**

Approaches to probity reviews and investigations; preparing for checks and risk assessments
Challenges in reviewing foreign companies and applicants
Individual and company background analysis

1600 **Group Project: Licensing**

Case studies and discussion of hypothetical scenarios in probity reviews

PROGRAM SCHEDULE (CONT)

TUESDAY 13 AUGUST

- 0845** **Current Issues in Responsible Gambling**
The increasing intersection between gambling and gaming and what that means for responsible gambling initiatives
- 0945** **Group Discussion: Effective Responsible Gambling Measures**
Jurisdictional review of responsible gambling measures
Case study: *GameSense program*
- 1100** BREAK (catered)
- 1115** **Responsible Gambling Protections with Technology**
Responsible gambling measures in online and mobile platforms
- 1200** LUNCH (catered) + Group Photograph
- 1300** **Internal Controls: Purpose**
Why internal controls are needed and how they fit within risk-based and rules-based approaches to regulation
- 1400** **Internal Control: Functions**
Effective ways to review and ensure compliance with internal controls
- 1500** BREAK (catered)
- 1515** **Group Project and Case Study: Internal Controls**
Drafting effective internal control minimum standards and case study review of internal control corrections

WEDNESDAY 14 AUGUST

- 0845** **Current Issues in Money Laundering Prevention**
Modern approaches to anti-money laundering for casinos and unique issues
Case study review: *Vancouver money laundering report*
- 0945** **Anti-Money Laundering Review**
Case studies and group discussion on AML risks and compliance
- 1045** BREAK (catered)

PROGRAM SCHEDULE (CONT)

WEDNESDAY 14 AUGUST

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| 1100 | Casino Management Systems
Fundamentals of casino management systems and role in financial reporting, preventing fraud, credit and TITO management |
| 1200 | Extended LUNCH (catered) with an opportunity to visit the Australasian Gaming Expo |
| 1400 | Junkets and VIP/Premium Play
Jurisdictional comparison of the role of junkets and oversight of VIP players
Review of pros and cons of credit issuance
Case Study: <i>Terrence Watanabe</i> |
| 1500 | Intelligence Gathering & Risk Mitigation
Using internal security resources to ensure compliance and maintain self-regulation |
| 1600 | BREAK (catered) |
| 1615 | Preparing and Planning for Regulatory Audit
Preparing an action plan for performance of regulatory audit; identifying high-risk areas and matters |

THURSDAY 15 AUGUST

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| 0845 | Compliance Programs and Compliance Audit Approaches
Why compliance programs are necessary and how a compliance framework is strengthened by regulatory cooperation and information sharing
Case Study: <i>Steve Wynn and Wynn Resorts</i>
(Culture of Non-compliance) |
| 0945 | Group Discussion: Compliance & Compliance Audits
Discuss components of compliance plan and any areas of regulatory attention needed |
| 1100 | BREAK (catered) |
| 1115 | Regulatory Investigations & Enforcement
Current trends in gambling crimes and technology used to provide security and prevent fraud |
| 1230 | LUNCH (catered) |

PROGRAM SCHEDULE (CONT)

THURSDAY 15 AUGUST

1315	Disciplinary Actions Developing the case for regulatory intervention and approaches to regulatory violations <i>Case Study: Casino Owner Circumvention</i>
1415	BREAK (catered)
1445	Offsite at Casino Training Academy Understanding how high-risk games work to help in investigations, links to casino monitoring systems and revenue cycles
1800	Group Cocktails & Dinner

FRIDAY 16 AUGUST

0845	Regulation & Gaming Innovation Regulatory approaches to gambling innovation
0945	Identifying Future & Emerging Risks: Use of Regulatory & Business Intelligence Implementing new technologies such as data analytics and facial recognition for regulatory purposes
1045	BREAK (catered)
1100	Best Practices in Third Party Testing Independent testing laboratory review of techniques and standard for testing new innovative equipment
1245	Casinos & Cybersecurity Identifying risks in data protection in both online and land-based casino operations <i>Case Study: Las Vegas Sands</i>
1345	BREAK (catered)
1400	Group Project: Casino of the Future Regulatory responses to developing trends in gambling for newer patrons
1530	Conclusion & Presentation of Certificates

*Program schedule is subject to change